



PATENT
Docket No.: 36287-03801

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : SPIELER, Christian; SLATER, Jonathan; WALISCH, Birgitta: & ADAMS, Jonathan

Serial No. : 10/644,281 **Group Art Unit** : 3609

Filed : August 20, 2003 **Examiner** : Rankins

For : ***SYSTEM AND METHOD FOR MANAGER ENHANCED RETURN ON COLLATERALIZED DEBT OFFERING TRANSACTIONS***

AMENDMENT AND REQUEST FOR RECONSIDERATION
(37 C.F.R. § 1.111)

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Amendment and Request for Reconsideration is submitted in response to an outstanding Office Action dated August 29, 2007.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims, which begins on page 3 of this paper.

Remarks/Arguments begin on page 10 of this paper.